

ENTERED



OIPE

RAW SEQUENCE LISTING

DATE: 09/22/2003

PATENT APPLICATION: US/10/656,053

TIME: 16:58:30

Input Set : N:\Crf3\RULE60\10656053.RAW.txt Output Set: N:\CRF4\09222003\J656053.raw

SEQUENCE LISTING

```
3 (1) GENERAL INFORMATION:
             (i) APPLICANT: Guevera, Jr., Juan G.
      6
                             Hoogeveen, Ron C.
      7.
                            Moore, Paul J.
      9
            (ii) TITLE OF INVENTION: LIPOPROTEINS AS NUCLEIC ACID DELIVERY
     10
                                      VECTORS FOR TRANSFECTION OF EUKARYOTIC CELLS
           (iii) NUMBER OF SEQUENCES: 229
     12
            (iv) CORRESPONDENCE ADDRESS:
                   (A) ADDRESSEE: Arnold, White & Durkee
     15
                  (B) STREET: P.O. Box 4433
     16
                  (C) CITY: Houston
     17
     18
                  (D) STATE: Texas
     19
                  (E) COUNTRY: USA
     20
                  (F) ZIP: 77210
     22
             (v) COMPUTER READABLE FORM:
     23
                  (A) MEDIUM TYPE: Floppy disk
     24
                  (B) COMPUTER: IBM PC compatible
     25
                  (C) OPERATING SYSTEM: PC-DOS/MS-DOS
     26
                  (D) SOFTWARE: PatentIn Release #1.0, Version .#1.30
     28
            (vi) CURRENT APPLICATION DATA:
C--> 29
                  (A) APPLICATION NUMBER: US/10/656,053
C--> 30
                  (B) FILING DATE: 05-Sep-2003
W--> 36
                  (C) CLASSIFICATION:
     33
           (vii) PRIOR APPLICATION DATA:
     34
                  (A) APPLICATION NUMBER: US/09/079,030
     35
                  (B) FILING DATE: 1998-05-14
          (viii) ATTORNEY/AGENT INFORMATION:
     38
     39
                  (A) NAME: McMillian, Nabeela R.
     40
                  (B) REGISTRATION NUMBER: P-43,363
     41
                  (C) REFERENCE/DOCKET NUMBER: ARAG:003
     43
            (ix) TELECOMMUNICATION INFORMATION:
                  (A) TELEPHONE: 512/418-3000
     44
     4.5
                  (B) TELEFAX: 512/474-7577
     48 (2) INFORMATION FOR SEQ ID NO: 1:
             (i) SEQUENCE CHARACTERISTICS:
     51
                  (A) LENGTH: 4536 amino acids
                  (B) TYPE: amino acid
     52
     53
                  (C) STRANDEDNESS:
     54
                  (D) TOPOLOGY: linear
     56
            (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:
     58
             Glu Glu Glu Met Leu Glu Asn Val Ser Leu Val Cys Pro Lys Asp Ala
     59
                                                  10
                                                                       15
```

RAW SEQUENCE LISTING DATE: 09/22/2003 PATENT APPLICATION: US/10/656,053 TIME: 16:58:30

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61	Thr	7\ r.a	Dha	Luc	Hic	T'A11	Λκα	Lys	тων	Thr	Туг	7) en	Тиг	Glu	Δla	Glu
61 62	IIIL	Arg	rne	20	птэ	пеп	ALG	пуз	25	1111	туг	ASII	тут	30	nια	
64 65	Ser	Ser	Ser 35	Gly	Val	Pro	Gly	Thr 40	Ala	Asp	Ser	Arg	Ser 45	Ala	Thr	Arg
67	Ile	Asn		Lys	Val	Glu	Leu	Glu	Val	Pro	Gln	Leu		Ser	Phe	Ile
68,		50					55					60				
70		Lys	Thr	Ser	Gln	-	Thr	Leu	Lys	Glu		Tyr	Gly	Phe	Asn	
71	65	~ 1	.	7. 7	.	70	.	.	m1	7	75	0	G1	C1'	DL -	80
73 74	Glu	GIĀ	ьуs	Ата	ьеи 85	Leu	ьуs	Lys	Thr	ъуs 20	Asn	ser	GIU	GIU	95	АТа
74 76	Δla	Δla	Met	Ser		Tvr	Glu	Leu	Lvs	-	Ala	Tle	Pro	Glu		īvs
77	111.0	7114	1100	100	1119	- 1 -	OLU	200	105					110	V-1	-1-
79	Gln	Val	Phe	Leu	Tyr	Pro	Glu	Lys	Asp	Glu	Pro	Thr	Tyr	Ile	Leu	Asn
80			115					120					125			
82	Ile	. -	Arg	Gly	Ile	Ile		Ala	Leu	Leu	Val		Pro	Glu	Thr	Glu
83	~ 3	130	_	~ 1	1	_	135	_	_	m)	1	140	~ 1	20	~ -	0
85 86	145	Ата	гла	GIN	vaı	ьеи 150	Pne	Leu	Asp	Thr	vai 155	Tyr	СТА	ASN	cys	160
86 88		Hie	Phe	Thr	Val		Thr	Arg	T.vs	Glv		Val	Δla	Thr	Glu	
89	1111		1110		165	Lyo	1111	9	_,	170	11011				175	
91	Ser	Thr	Glu	Arg	Asp	Leu	Gly	Gln	Cys	Asp	Arg	Phe	Lys	Pro	Ile	Arg
92				180					185					190		
94	Thr	Ģly		Ser	Pro	Leu	Ala	Leu	Ile	Lys	Gly	Met		Arg	Pro	Leu
95			195		_	_	_	200	_	_		_	205	_	_	
97	Ser		Leu	Ile	Ser	Ser		Gln	Ser	Cys	GIn	_	Thr	Leu	Asp	Ala
98	Т	210	. T.,	. Uic	. 1751	- ורו	215	. 7.1 -	. т1а	Cur	. T.,,	220 . Gli	. Glr	. Uia	· Io	ı Phe
100 101	шуs 225	_	ј гус	HIS	o val	230		ı Alc	1 116	e Cys	235		ı Gii	i nis	ь пе	240
101			. Phe	S C 2	- ጥኒን			1.ve	. Tv:	^ G1 s			Δla	Glr	. Va	l Thr
103	пес		, , , , ,	, 561	245		1 1151	грус	, <u>. y</u> .	250				. 0.11	25!	_
106	Gln	Thr	Leu	ı Lys			ı Asp	Thi	Pro			. Asn	Ser	Arc	Phe	e Phe
107				260			_		265					270		
109	Gly	/ Glu	ı Gly	/ Thr	Lys	Lys	Met	: Gl	/ Let	ı Ala	a Phe	e Glu			Lys	s Ser
110			275				_	280					285			
112	Thr			Pro) Lys	Glr			ı Ala	a Val	. Let			Let	ı Glı	n Glu
113	+	290		. .	m la .		295			. 7	т 1 а	300		. 7.1.	. 7.0.	. To::
115 116	305	_	: г.	ь ьег	i iii	310		GIL	r GTI	I ASI	315		HIG) Ald	i ASI	1 Leu 320
118			T.175	. T.e.i	ı Val			ı Lei	ı Arc	r Gl v			Asr	Gli	1 Ala	a Val
119	1110	, 1151	. Lyc	, дес	325		. 01.			330				020	33	
121	Thr	Ser	Leu	ı Lev			ı Leı	ı Ile	e Gli			Ser	Pro	ıl.		Leu
122				340				•	345			•		350		
124	Gln	ı Ala	Lev	ı Val	Glr	ı Cys	Gly	/ Glr	n Pro	Glr	ı Cys	Ser	Thr	His	s Ile	e Leu
125			355					360					365			
127	Gln	-		ı Lys	Arg	y Val			a Asr	n Pro	Let			: Asp	Val	l Val
128		370			7. 7	-	375		63	_		380		C3	. т.	. 7
130		_	Leu	ı val	. Ala			e Pro) GII	ı Pro			ı G1F	ı GII	тел	Arg
131	385		Dh.	, n.~	. Ma+	390 - 11		τ λο - -	\ (i) -	A ~~	395 Ser		, <u>7</u> ,1~	ጥ ኮ •	. I.o.	400 Tyr
133	GIU	≀ тт∈	: PHE	: AST	ı met	. ATS	HIG	, ASE) GTI	r Hrô	, ser	. Arg	N T C	TII	. net	ттут

RAW SEQUENCE LISTING DATE: 09/22/2003
PATENT APPLICATION: US/10/656,053 TIME: 16:58:30

Input Set : N:\Crf3\RULE60\10656053.RAW.txt
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134					405					410					415	
136	Ala	Len	Ser	His		Val	Asn	Asn	Tvr		Lvs	Thr	Asn	Pro		Glv
137	1114	ДСС	001	420				1,011	425		-10			430		0
139	Thr	Gln	Glu		ī.eu	Asn	Tle	Ala		Tur	Len	Met	Glu		Tle	Gln
140	1111	OIII	435	пси	шси	7151	110	440	11011	- 4 -	Dou	1100	445	0.111	110	0111
142	Acn	Aen	Cys	Thr	Glv	Asn	Glu	-	ጥህን	Thr	Tur	T.e.11		T.e.11	Δrσ	Val
143	тэр	450	Суз	1111	Gry	тэр	455	АЗР	тут	1111	тут	460	116	дец	Arg	Val
	т1.		Asn	Mo+	C1 11	Cln		Mo+	Clu	Cln	LOU		Dro	Clu	Lon	Tvc
145	465	GTÀ	MSII	Mec	сту	470	IIII	мес	GIU	GIII	475	1111	FIO	Giu	ьеu	480
146		Com	Ile	T 0.11	T	-	u.l	C1-	Com	mb ~		Dwo	Con	T 011	Mot	
148	Ser	ser	тте	ьец	ьуs 485	Cys	vaı	GIII	ser	490	гуу	PIO	ser	ьeu	495	тте
149	C1 =	T	71.	71.		Cln	חות	T 011	71 20 00		Mot	C1,1	Dro	Tuc		Luc
151	GIN	гуѕ	Ala		тте	GIII	Ата	ьeu	505	гуу	Met	GIU	PIO	510	Asp	гуз
152	70	01-	C1	500	T	T	C3	m1		T	7	71	71.7		D	C1
154	Asp	GIN	Glu	vaı	Leu	ьeu	GIN		Pne	ьeu	Asp	Asp		ser	Pro	сту
155		-	515		7.7	7 . 7		520	M = 4	.	M - 1	70	525	D	0	G1
157	Asp	_	Arg	Leu	Ата	Ата	_	Leu	мет	Leu	мет		Ser	Pro	Ser	GIN
158		530		_	_	-,	535	~1		_		540	~ 3	~ 1	•	6 3
160		Asp	Ile	Asn	Lys		Val	GIn	He	Leu		Trp	Glu	GIn	Asn	
161	545		_	_		550		_	1		555	_		_	_	560
163	Gln	Val	Lys	Asn		Val	Ala	Ser	His		Ala	Asn	He	Leu		Ser
164	_				565					570	_				575	_
166	Glu	Glu	Leu	_	Ile	Gln	Asp	Leu		Lys	Leu	Val	Lys		Ala	Leu
167				580					585					590		
169	Lys	Glu	Ser	Gln	Leu	Pro	Thr		Met	Asp	Phe	Arg	Lys	Phe	Ser	Arg
170			595			•		600					605			
172	Asn	Tyr	Gln	Leu	Tyr	Lys		Val	Ser	Leu	Pro	Ser	Leu	Asp	Pro	Ala
173		610					615					620				
175		Ala	Lys	Ile	Glu	_	Asn	Leu	Ile	Phe	Asp	Pro	Asn	Asn	Tyr	Leu
176	625					630				•	635					640
178	Pro	Lys	Glu	Ser	Met	Leu	Lys	Thr	Thr	Leu	Thr	Ala	Phę	Gly	Phe	Ala
179					645					650					655	
181	Ser	Ala	Asp	Leu	Ile	Glu	Ile	Gly	Leu	Glu	Gly	Lys	Gly	Phe	Glu	Pro
182				660					665					670		
184	Thr	Leu	Glu	Ala	Leu	Phe	Gly	Lys	Gln	Gly	Phe	Phe	Pro	Asp	Ser	Val
185			675					680					685			
187	Asn	Lys	Ala	Leu	Tyr	Trp	Val	Asn	Gly	Gln	Val	Pro	Asp	Gly	Val	Ser
188		690					695					700				
190	Lys	Val	Leu	Val	Asp	His	Phe	Gly	Tyr	Thr	Lys	Asp	Asp	Lys	His	Glu
191	705					710					715					720
193	Gln	Asp	Met	Val	Asn	Gly	Ile	Met	Leu	Ser	Val	Glu	Lys	Leu	Ile	Lys
194					725					730					735	
196	Asp	Leu	Lys	Ser	Lys	Glu	Val	Pro	Glu	Ala	Arg	Ala	Tyr	Leu	Arg	Ile
197	-		-	740	-				745		-		_	750		
199	Leu	Gly	Glu	Glu	Leu	Gly	Phe	Ala	Ser	Leu	His	Asp	Leu	Gln	Leu	Leu
200		-	755			-		760				_	765			
202	Glv	Lvs	Leu	Leu	Leu	Met	Gly		Ara	Thr	Leu	Gln	Gly	Ile	Pro	Gln
203	- 1	770					775		,			780	_			
205	Met		Glv	Glu	Val	Ile		Lys	Glv	Ser	Lys		Asp	Phe	Phe	Leu
206	785		2			790	- 9	1 -	- 4		795		- T .	-		800
	. 55															

RAW SEQUENCE LISTING
PATENT APPLICATION: US/10/656,053

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TIME: 16:58:30

Input Set: N:\Crf3\RULE60\10656053.RAW.txt
Output Set: N:\CRF4\09222003\J656053.raw

208 209	His	Tyr	Ile	Phe	Met 805	Glu	Asn	Ala	Phe	Glu 810	Leu	Pro	Thr	Gly	Ala 815	Gly
211 212	Leu	Gln	Leu	Gln 820	Ile	Ser	Ser	Ser	Gly 825	Val	Ile	Ala	Pro	Gly 830	Ala	Lys
214 215	Ala	Gly	Val 835	Lys	Leu	Glu	Val	Ala 840		Met	Gln	Ala	Glu 845	Leu	Val	Ala
217 218	Lys	Pro 850	Ser	Val	Ser	Val	Glu 855	Phe		Thr	Asn	Met 860	Gly	Ile	Ile	Ile
220 221	Pro 865	Asp	Phe	Ala	Arg	Ser 870	Gly	Val	Gln	Met	Asn 875	Thr	Asn		Phe	His 880
223 224	Glu	Ser	Gly	Leu	Glu 885	Ala	His	Val	Ala	Leu 890	Lys	Ala	Gly	Lys	Leu 895	Lys
226 227	Phe	Ile	Ile	Pro 900	Ser	Pro	Lys	Arg	Pro 905	Val	Lys	Leu	Leu	Ser 910	Gly	Gly
229 230	Asn	Thr	Leu 915	His	Leu	Val	Ser	Thr 920	Thr	Lys	Thr	Glu	Val 925	Ile	Pro	Pro
232 -233	Leu	Ile 930	Glu	Asn	Arg	Gln	Ser 935	Trp	Ser	Val	Cys	Lys 940	Gln	Val	Phe	Pro
235 236	Gly 945	Leu	Asn	Tyr	Суѕ	Thr 950	Ser	Gly	Ala	Tyr	Ser 955	Asn	Ala	Ser	Ser	Thr 960
238 239	Asp	Ser	Ala	Ser	Tyr 965	Tyr	Pro	Leu	Thr	Gly 970	Asp		Arg	Leu	Glu 975	Leu
241 242				980		_			985	Gln	-			990		
244 245	-		995		_		_	100	0	Leu			100	5		
247 248		1010)			_	101	5		Thr		1020)		•	
250 . 251	102	5		_		1030)			Ser	103	5				1040
253 254					1045	ō				Leu 1050)				1055	5
256 257			_	1060)		_		106			_		1070)	
259 260	_		1075	5				1080	ο _	His			1085	5		
262 263	Glu	Glu 1090	_	Lys	Ile	Lys	Gly 1099		Ile	Ser	Ile	Pro 1100	_	Leu	Gln	Ala
265 266	1105	ō	_			1110)			Trp	1115	5		_		1120
268 269	Leu	Gln	Met	Asp	Ser 1125		Ala	Thr	Ala	Tyr 1130		Ser	Thr	Val	Ser 1135	
271· 272	Arg	Val	Ala	Trp 1140		Tyr	Asp	Glu	Glu 114	Lys 5	Ile	Glu	Phe	Glu 1150		Asn
274 275	Thr	Gly	Thr 1155		Val	Asp	Thr	Lys 1160		Met	Thr	Ser	Asn 1165		Pro	Val
277 278	Asp	Leu 1170		Asp	Tyr	Pro	Lys 1175		Leu	His	Met	Tyr 1180		Asn	Arg	Leu
280	Leu	Asp	His	Arg	Val	Pro	Glu	Thr	Asp	Met	Thr	Phe	Arg	His	Val	Gly

RAW SEQUENCE LISTING DATE: 09/22/2003 PATENT APPLICATION: US/10/656,053 TIME: 16:58:30

Input Set : N:\Crf3\RULE60\10656053.RAW.txt
Output Set: N:\CRF4\09222003\J656053.raw

281	1185				119	0				119	5				1200
283	Ser L	ys Le	u Ile	Val	Ala	Met	Ser	Ser	Trp	Leu	Gln	Lys	Ala	Ser	Gly
284				120	5				121)				121	5
286	Ser L	Jeu Pr	o Tyr	Thr	Gln	Thr	Leu	Gln	Asp	His	Leu	Asn	Ser	Leu	Lys
287			122	0				122	5				1230)	
289	Glu P	he As	n Leu	Gln	Asn	Met	Gly	Leu	Pro	Asp	Phe	His	Ile	Pro	Glu
290		12	35				124	0				124	5		
292	Asn L	eu Ph	e Leu	Lys	Ser	Asp	Gly	Arg	Val	Lys	Tyr	Thr	Leu	Asn	Lys
293	1	.250				125.	5				1260	0			
295	Asn S	Ger Le	u Lys	Ile	Glu	Ile	Pro	Leu	Pro	Phe	Gly	Gly	Lys	Ser	Ser
296	1265				127	0				127	5				1280
298	Arg A	Asp Le	u Lys	Met	Leu	Glu	Thr	Val	Arg	Thr	Pro	Ala	Leu	His	Phe
299				128	5 .				1290)				129	5
301	Lys S	Ger Va	l Gly	Phe	His	Leu	Pro	Ser	Arg	Glu	Phe	Gln	Val	Pro	Thr
302	-		130	0				130	5				1310)	
304	Phe T	hr Il	e Pro	Lys	Leu	Tyr	Gln	Leu	Gln	Val	Pro	Leu	Leu	Gly	Val
305			15	_		_	132					132			
307	Leu A	asp Le	u Ser	Thr	Asn	Val	Tyr	Ser	Asn	Leu	Tyr	Asn	Trp	Ser	Ala
308	1	330				133	5				1340	0			
310	Ser T	yr Se	r Gly	Gly	Asn	Thr	Ser	Thr	Asp	His	Phe	Ser	Leu	Arg	Ala
311	1345	-	_	-	135				_	135					1360
313	Arg T	yr Hi	s Met	Lys	Ala	Asp	Ser	Val	Val	Asp	Leu	Leu	Ser	Tyr	Asn
314	-	_		136	5				1370) "				1375	5
316	Val G	In Gl	y Ser	Gly	Glu	Thr	Thr	Tyr	Asp	His	Lys	Asn	Thr	Phe	Thr
317			138	0				138	5				1390)	
319	Leu S	Ser Cy	s Asp	Gly	Ser	Leu	Arg	Hiș	Lys	Phe	Leu	Asp	Ser	Asn	Ile
320		_	95	_			140					140			
322	Lys P	he Se	r His	Val	Glu	Lys	Leu	Gly	Asn	Asn	Pro	Val	Ser	Lys	Gly
323	_ 1	410				141	5				1420)			
325	Leu L	eu Il	e Phe	Asp	Ala	Ser	Ser	Ser	Trp	Gly	Pro	Gln	Met	Ser	Ala
326	1425				1430	С				143	5				1440
328	Ser V	al Hi	s Leu	Asp	Ser	Lys	Lys	Lys	Gln	His	Leu	Phe	Val	Lys	Glu
329				144	5				1450)				1455	5
331	Val L	ys Il	e Asp	Gly	Gln	Phe	Arg	Val	Ser	Ser	Phe	Tyr	Ala	Lys	Gly
332			146	0				1465	5				1470) .	
334	Thr T	yr Gl	y Leu	Ser	Cys	Gln	Arg	Asp	Pro	Asn	Thr	Gly	Arg	Leu	Asn
335		14	75				1480)				148	5		
337	Gly G	Slu Se	r Asn	Leu	Arg	Phe	Asn	Ser	Ser	Tyr	Leu	Gln	Gly	Thr	Asn
338		.490				149					1500				
340	Gln I	le Th	r Gly	Arg	Tyr	Glu	Asp	Gly	Thr	Leu	Ser	Leu	Thr	Ser	Thr
341	1505				1510)				1515	5				1520
343	Ser A	sp Le	u Gln	Ser	Gly	Ile	Ile	Lys	Asn	Thr	Àla	Ser	Leu	Lys	Tyr
344				1525	5				1530)				1535	5
346	Glu A	sn Ty	r Glu	Leu	Thr	Leu	Lys	Ser	Asp	Thr	Asn	Gly	Lys	Tyr	Lys
347			154	0			•	1545	5				1550)	
349	Asn P	he Al	a Thr	Ser	Asn	Lys	Met	Asp	Met	Thr	Phe	Ser	Lys	Gln	Asn
350		15	55				1560)				1565	5		
352	Ala L	eu Le	u Arg	Ser	Glu	Tyr	Gln	Ala	Asp	Tyr	Glu	Ser	Leu	Arg	Phe
353	1	570				1575	5				1580)			

RAW SEQUENCE LISTING ERROR SUMMARY PATENT APPLICATION: US/10/656,053

DATE: 09/22/2003 TIME: 16:58:31

Input Set : N:\Crf3\RULE60\10656053.RAW.txt
Output Set: N:\CRF4\09222003\J656053.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:2; Xaa Pos.2
Seq#:75; Xaa Pos.2,3,4,5

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/656,053

DATE: 09/22/2003 TIME: 16:58:31

Input Set: N:\Crf3\RULE60\10656053.RAW.txt
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L:29 M:220 C: Keyword misspelled or invalid format, [(A) APPLICATION NUMBER:]

L:30 M:220 C: Keyword misspelled or invalid format, [(B) FILING DATE:]

L:36 M:238 W: Alpha Fields not Ordered, Reordered [(C) CLASSIFICATION:] of (1)(vi)

L:921 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:2 after pos.:0 L:2668 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:75 after pos.:0 STATISTICS SUMMARY

PATENT APPLICATION: US/10/656,053

DATE: 09/22/2003 TIME: 16:58:31

[2204 Cot . N.\C=52\BITE60\10656052 DAW +v+

Input Set : N:\Crf3\RULE60\10656053.RAW.txt
Output Set: N:\CRF4\09222003\J656053.raw

Application Serial Number: US/10/656,053

Alpha or Numeric or Xml: Alpha

Application Class:

Application File Date: 09-05-2003

Art Unit: OIPE

Software Application: PatentIN1.0 Total Number of Sequences: 229

Total Nucleotides: 702
Total Amino Acids: 23191

Number of Errors: 0 Number of Warnings: 3 Number of Corrections: 2

MESSAGE SUMMARY

220 C: 2 (Keyword misspelled or invalid format)

341 W: 2 ((46) "n" or "Xaa" used)